

# The Simmons Foundation

## Annual Report

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Fiscal Year 2003

January 1, 2003 - December 31, 2003



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# History of The Simmons Foundation

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## □ **History**

In 1993, the granddaughter of L. B. and Ola Simmons established a foundation in their name as a tribute to their lifelong generosity and commitment to assist those in need. *The Simmons Foundation* expresses that commitment by funding agencies and organizations that help people help themselves. Although the Foundation distributes grants in several interest areas, its primary focus is grounded in *education* and *human services*.

Since its inception, *The Simmons Foundation* has awarded \$4,104,719 in grants, predominately in Harris and surrounding counties in Texas.

## □ **Mission**

To help people help themselves by supporting programs that improve education, develop healthy lifestyles and promote economic and leadership opportunities.

# Board of Directors and Foundation Staff • FY2003

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## □ **Board of Directors and Officers**

David L. Solomon, President and Director

David C. Shindeldecker, Vice President and Director

George Grant Roane, IV, Secretary/Treasurer and Director

Linda K. May, Director

Gay A. Roane, Director

## □ **Staff**

Linda K. May, Grant Officer

Debbie Dalton, Grant Officer

George Grant Roane, IV, Grant Officer

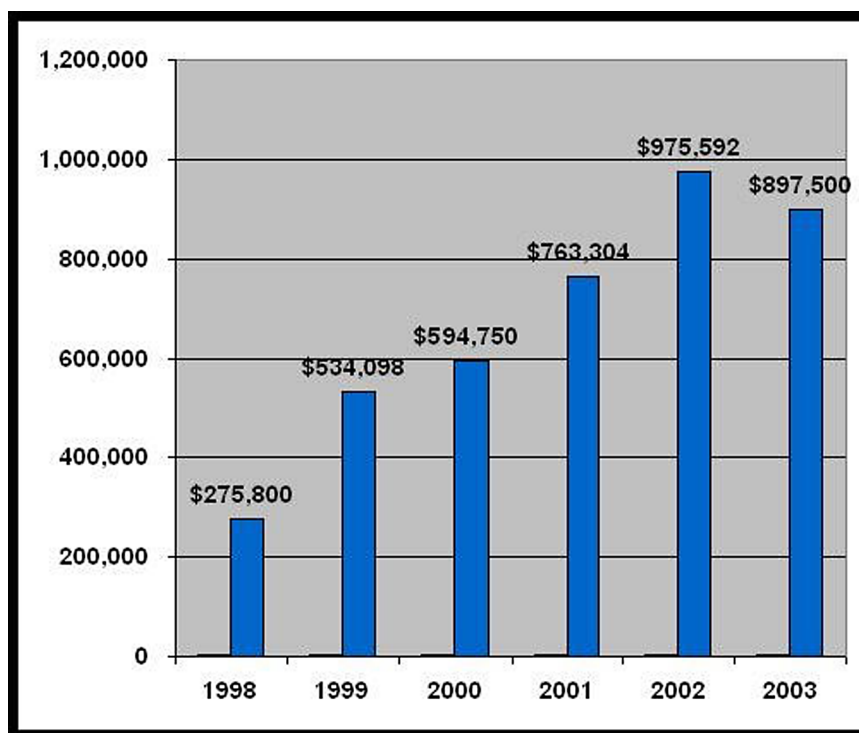
Rosie Flores, Grant Coordinator

***The Simmons Foundation*** Board of Directors meets quarterly, and accepts proposals on an on-going basis. The review process may take anywhere from several weeks to several months, depending upon receipt of the request.

# Grant Spending

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Grant Spending in Thousands of Dollars

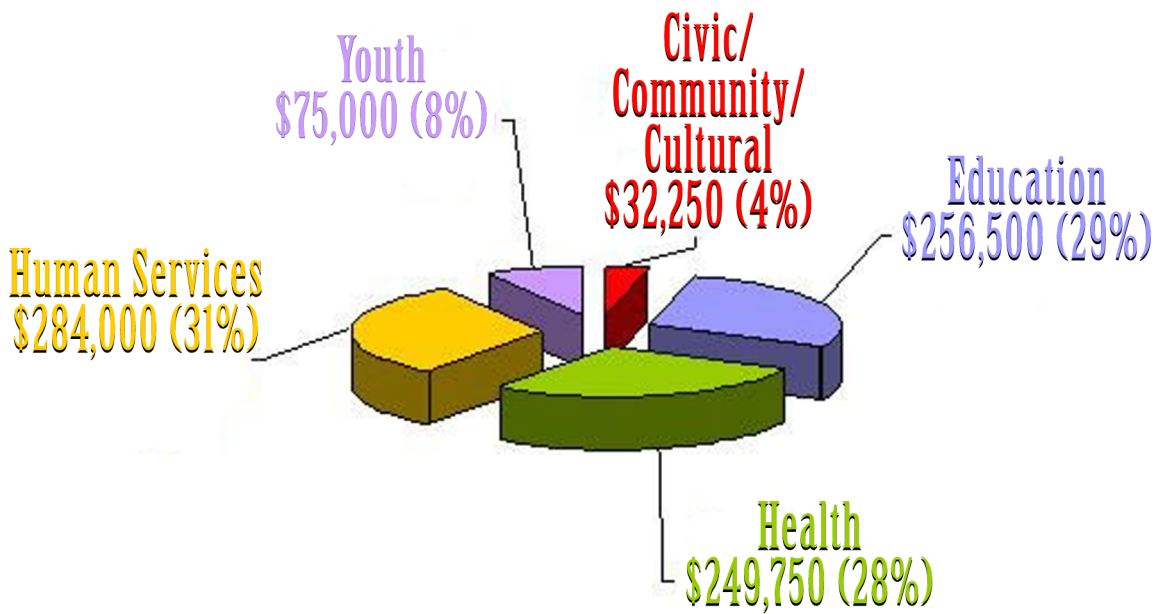


Grant allocations for any given year will differ from the total grants approved and total grants paid during that year. In 2003, the Board of Directors approved 74 grants totaling \$781,000, some of which will be paid in future years. The total amount paid in FY2003 was \$897,500, which included several multi-year grants originally approved in prior years.

# 2003 Grant Allocations

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## 2003 Grants





## 2003 Grants

*The Simmons Foundation awards grants for operating, program and endowment funds and capital campaigns.*

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<b>Grantee and Purpose <u>Community/Civic/Cultural</u></b>	<b>Year Approved</b>	<b>Total Grant</b>	<b>2003 Allocation</b>
<b>Alliance for Non Profit Management</b> (Program) Staff training in capacity building for local non-profits	2003	\$7,250	\$7,250
<b>Interfaith Ministries of Houston</b> (Program) Case management for senior services	2003	\$7,500	\$7,500
<b>Main Street Theater</b> (Program) Youth theater performances, a collaboration with schools to select plays that enhance curriculum and promote literacy	2003	\$10,000	\$10,000
<b>Volunteer Houston</b> (Operating) Volunteer recruitment for over 700 nonprofits; referral agency for those wanting information about volunteering	2003	\$7,500	\$7,500
		<b>Sub-Total</b>	<b>\$32,250</b>



## 2003 Grants

<b>Grantee and Purpose</b>	<b>Year Approved</b>	<b>Total Grant</b>	<b>2003 Allocation</b>
<b><u>Education</u></b>			
<b>A+ Educational Research &amp; Development</b> (Operating) Computer training to improve skill level for potential employment	2003	\$10,000	\$10,000
<b>American Association of University Women**</b> (Program) <i>Expanding Your Horizons</i> , day-long introductory workshops in math and science for middle school girls	2002	\$6,000	\$2,000
<b>Briarwood School</b> (Program) Tuition assistance for children “who learn differently”	2003	\$7,500	\$7,500
<b>Chinquapin School**</b> (Operating) Help pay for driver, insurance, gas and associated expenses for bus to transport female students to school daily	2003	\$10,000	\$10,000
<b>Communities in Schools-Baytown</b> (Program) Educational enrichment program for academic enhancement, including math, science, history and extra curricular activities	2003	\$7,000	\$7,000
<b>Communities in Schools, Houston**<sup>1</sup></b> (Program) <i>Taking Charge</i> , day-long program for middle school girls; follow-up sessions throughout year at all participating campuses	2003	\$16,500	\$ 16,500

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<sup>1</sup> \$10,000 awarded in 2002 and paid in 2003  
\$ 6,500 awarded in 2003 and paid in 2003

**2003 Grants /Education continued**

<b>Communities in Schools, Southeast Harris County &amp; Brazoria County, Joint Venture</b> (Program) <i>Stay in School</i> program targeted for at-risk youth	2003	\$6,000	\$ 6,000
<b>Cypress Fairbanks Educational Foundation</b> (Endowment) Scholarship funds for students based on economic need, academic achievement and demonstrated leadership	2003	\$20,000	\$10,000
<b>Houston A+ Challenge</b> (Program) Educates teachers, including <i>Teach for America</i> participants, and administrators about working together and within the larger community	2003	\$16,500	\$16,500
<b>I Have a Dream Houston</b> (Operating) Mentoring program for low income, at-risk youth, from third grade through graduation	2003	\$10,000	\$10,000
<b>Jewish Women International Prejudice Awareness Summit</b> (Program) Three daylong workshops in HISD and SBISD dealing with intergroup relations	2003	\$18,000	\$6,000
<b>The Monarch School</b> (Program) Therapeutic day school for children with ADHD, ADD, autism and other learning differences	2003	\$10,000	\$10,000
<b>Neuhaus Education Center</b> (Program) Project <i>LITERACY</i> , professional development that provides literacy teaching methods to teachers	2003	\$10,000	\$10,000
<b>The Parish School</b> (Capital) New campus for above average students with learning differences	2001	\$50,000	\$10,000

**2003 Grants /Education continued**

<b>Pro-Vision*</b> (Operating) School for boys who come from situations that include abuse, disruptive behavior, learning disabilities, gangs, and related problems	2003	\$20,000	\$20,000
<b>The Rise School</b> (Operating) Innovative pre-school education for children with Down Syndrome and other developmental disabilities, and for children without disabilities	2002	\$40,000	\$20,000
<b>Small Steps Nurturing Center</b> (Operating) Pre-school for low income, at-risk youth, to prepare them for kindergarten and beyond	2003	\$15,000	\$15,000
<b>SummerBridge Houston<sup>2</sup></b> (Operating) Intensive six week academic summer session with the opportunity to attend year-round enrichment courses offered to motivated and talented 7 <sup>th</sup> and 8 <sup>th</sup> grade students with limited economic and educational opportunities	2003	\$5,000	\$5,000
<b>Technology for All-Houston</b> (Operating) Community Technology Centers at 160+ sites, including the Mission Milby Community Technology Center in Houston's East End	2003	\$10,000	\$10,000
<b>William Lawson Institute*</b> (Operating) Boy's charter school in at-risk neighborhood	2002	\$40,000	\$20,000
<b>The Women's Resource of Greater Houston **</b> formerly the Greater Houston Women's Foundation (Program) Updating of program and materials for financial education seminars geared to teens, those in the workforce and retirees	2003	\$15,000	\$15,000

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<sup>2</sup> \$ 5,000 awarded in 2002 and paid in 2003

<b>YES College Preparatory School</b> (Operating) State charter school primarily for Hispanic youth, all of whom go on to college after graduation	2003	\$20,000	\$20,000
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		<b>Sub-Total</b>	<b>\$256,500</b>
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*\*Men/Boys*

*\*\*Women/Girls*



## 2003 Grants

*The Simmons Foundation awards grants for operating, program and endowment funds and capital campaigns.*

<b>Grantee and Purpose</b>	<b>Year Approved</b>	<b>Total Grant</b>	<b>2003 Allocation</b>
<b>Health</b>			
<b>Bay Area Rehabilitation Center</b> (Capital) Matching funds for renovation of building to accommodate an Adult Day Health Program for disabled adults	2003	\$5,000	\$5,000
<b>Breath of Life Children's Center</b> (Operating) Healthcare for uninsured and underinsured	2003	\$5,000	\$5,000
<b>Cancer Counseling, Inc. **</b> (Program) Therapy, at no cost, for women and girls dealing with the emotional impact of cancer and its treatment	2003	\$5,000	\$5,000
<b>Center for Hearing and Speech</b> (Program) Parent/Infant Program	2002	\$30,000	\$15,000
<b>Child Advocates</b> (Program) Effort to help navigate children in protective custody through the court system and into a safe and permanent environment	2003	\$5,000	\$5,000
<b>Community Doula Program**</b> (Operating) Peer to peer programs to educate and nurture pregnant youth and women in disadvantaged neighborhoods	2003	\$15,000	\$15,000
<b>Council on Alcohol and Drugs Houston, The</b> (Program) Expansion of counseling and assessment services	2003	\$10,000	\$10,000
<b>Epilepsy Foundation of SE</b> (Program) <i>Spike 'n Wave</i> camping experience for youth	2003	\$7,500	\$7,500
<b>Good Neighbor Healthcare Center**</b> (Program) Quality primary health care for the pediatric and midwifery programs	2003	\$10,000	\$10,000

<b>Healthcare for the Homeless</b> (Operating) Primary care and psychiatry for the homeless	2003	\$10,000	\$10,000
<b>Houston Area Women's Center**</b> (Capital Campaign) New 125-bed facility for abused women and their children	2000	\$250,000	\$50,000
<b>Houston Community Health Centers (Denver Harbor)</b> (Operating) Provides health care to residents of an underserved area of Houston that is occupied primarily by Hispanics	2003	\$7,500	\$7,500
<b>InFOCUS</b> (Program) Vision Stations that provide on site eye exams and glasses to adults and children	2003	\$10,000	\$10,000
<b>Justice for Children</b> (Operating) Case management, direct service and therapy for child abuse victims; including prevention and intervention	2003	\$9,750	\$9,750
<b>Mental Health Association of Greater Houston**</b> (Program) Women's mental health effort geared to mental healthcare practitioners and the general population in area of post partum depression	2003	\$10,000	\$10,000
<b>Montrose Clinic</b> (Program) Treatment and prevention services to those infected with HIV or those at risk	2003	\$10,000	\$10,000
<b>Resource and Crisis Center of Galveston County**</b> (Capital) Renovation of a facility that houses a shelter for survivors of domestic abuse, their children and staff	2003	\$15,000	\$15,000

<b>Rose, The**</b> (Capital) Expand facilities and add technical equipment benefiting an organization which provides breast cancer screening, diagnosis and support	2002	\$100,000	\$25,000
<b>SIRE, Inc.</b> (Operating) Provides physical and emotional therapy and education using horseback riding and related activities	2003	\$7,500	\$7,500
<b>United Cerebral Palsy of Greater Houston</b> (Program) <i>High School/High Tech</i> , program that promotes science, engineering and technology careers for students with disabilities	2003	\$7,500	\$7,500
<b>Vita Living, Inc.</b> (Operating) Life long support and services for children and adults with developmental disabilities	2003	\$10,000	\$10,000
		<b>Sub-Total</b>	<b>\$249,750</b>

\*\*Women/Girls



## 2003 Grants

*The Simmons Foundation awards grants for operating, program and endowment funds and capital campaigns.*

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<b>Grantee and Purpose</b>	<b>Year Approved</b>	<b>Total Grant</b>	<b>2003 Allocation</b>
<b><u>Human Services</u></b>			
<b>3 “A” Bereavement Foundation</b> (Operating) Counseling and assistance with funerals for families with limited funds	2002	\$3,000	\$1,500
<b>Aldine Youth</b> (Operating) Youth program in unincorporated area of city; includes GED, WIC, probation officers on site, etc.	2003	\$5,000	\$5,000
<b>ARC of Greater Houston, The</b> (Operating) Mental health program that helps individuals with cognitive, intellectual, developmental and learning disabilities and their families	2003	\$15,000	\$15,000
<b>AVANCE</b> (Program) Parenting and literacy classes primarily for Hispanics and low-income residents of the East End	2003	\$20,000	\$20,000
<b>Bo’s Place</b> (Program) Year-long counseling for children suffering effects of the death of a loved one	2003	\$5,000	\$5,000
<b>Bridge Over Troubled Waters**</b> (Program) Transitional program/shelter that assists survivors of domestic and sexual violence	2003	\$5,000	\$5,000
<b>Camp for All</b> (Operating) Barrier-free camp providing recreational opportunities to people with disabilities and chronic illnesses	2003	\$ 7,500	\$7,500

<b>Career &amp; Recovery Resources, Inc.**</b> (Program) <i>Women's Alternative Employment</i> services for females 18 and older in substance abuse recovery to attain and retain employment	2003	\$10,000	\$10,000
<b>ChildBuilders</b> (Program) <i>Parents Under Construction</i> , parenting classes	2003	\$15,000	\$15,000
<b>Cornerstone Recovery/Palmer Episcopal Church</b> (Program) Wilderness experience for youth recovering from addictions	2003	\$7,500	\$7,500
<b>Covenant House Texas**</b> (Program) <i>Mother &amp; Child Emergency Shelter</i> program for homeless mothers and pregnant youth	2003	\$5,000	\$5,000
<b>Dress for Success Houston**</b> (Program) <i>Professional Woman's Group</i> , a networking effort for the recently employed woman to discuss workplace issues and life skills	2003	\$30,000	\$10,000
<b>Furniture Bank</b> (Operating) Furniture for the indigent and homeless	2003	\$15,000	\$15,000
<b>Gathering Place</b> (Program) Employment program for people with mental illnesses	2003	\$10,000	\$10,000
<b>Healthy Family Initiatives</b> (Program) Child abuse prevention, including a report on "lessons learned" that will be shared with schools, service providers and the public	2003	\$5,000	\$5,000
<b>Homes of St. Mark</b> (Program) Special needs adoption effort that places more than 700 children, and minimizes the time children wait for adoptive homes	2003	\$7,500	\$7,500

<b>Houston SRO Housing Corporation</b> (Program) Scattered site housing for 56 families, focusing on survivors of domestic violence and those who have been chemically dependent. Residents learn skills for independent living and how to secure entitlement benefits	2003	\$10,000	\$10,000
<b>No More Victims</b> (Operating) Reduce victimizing behavior in society by specifically addressing the needs and issues of both the child and adult	2002	\$10,000	\$5,000
<b>Northwest Assistance Ministries</b> (Program) Congregational umbrella agency with a clinic that provides healthcare for low income families without health insurance	2002	\$20,000	\$10,000
<b>Planned Parenthood of Houston and Southeast Texas**</b> (Program) Educational programs impacting teens, parents and professionals with the goal of reducing unplanned pregnancies while maintaining physical and mental health	2003	\$15,000	\$15,000
<b>Project Mend</b> (Operating) Recycling medical equipment for low income and indigent populations	2003	\$10,000	\$10,000
<b>Reach Unlimited</b> (Program) Collaborative employment program for people with mental disabilities	2003	\$10,000	\$10,000
<b>SEARCH</b> (Operating) Helping the homeless obtain job training, healthcare, childcare, etc.	2003	\$25,000	\$25,000

<b>Sheltering Arms Senior Services</b> (Operating) Long-term care, day care, personal assistance and in-home services for the frail elderly	2003	\$10,000	\$10,000
<b>Spaulding for Children</b> (Program) Adoption agency with the goal of placing 130 children in permanent adoptive families in 2003	2003	\$10,000	\$10,000
<b>Special Olympics Texas</b> (Program) Effort designed to teach participants how to care for themselves year-round, focusing on nutrition, sleep and exercise	2003	\$5,000	\$5,000
<b>Texas Council on Family Violence</b> (Program) Matching grant to help prevent burnout for volunteers who answer calls 40 hours a week	2003	\$5,000	\$5,000
<b>Women's Home, The**</b> (Program) Residential treatment services for homeless women due to mental illness or substance abuse	2003	\$10,000	\$10,000
<b>Wesley Community Center**</b> (Program) Pregnancy prevention program for teens	2002	\$20,000	\$10,000
<b>Youth &amp; Family Counseling Services</b> (Operating) Case management and professional counseling for low income families	2003	\$5,000	\$5,000
		<b>Sub-Total</b>	<b>\$284,000</b>

\*\*Women/Girls



## 2003 Grants

*The Simmons Foundation* awards grants for operating, program and endowment funds and capital campaigns.

<b>Grantee and Purpose</b>	<b>Year Approved</b>	<b>Total Grant</b>	<b>2003 Allocation</b>
<b><u>Youth</u></b>			
<b>Amigos de las Americas</b> (Operating) Training for youth leadership that places students in Central and South America	2003	\$10,000	\$10,000
<b>Big Brothers/Big Sisters of SE Texas</b> (Operating) Support for volunteer retention	2003	\$7,500	\$7,500
<b>Hope Shelter*</b> (Capital) Building renovations for a vocational school for at-risk boys who will be “aged out” from Children’s Protective Services	2003	\$15,000	15,000
<b>Houston Hispanic Forum</b> (Program) Career and Education day impacting more than 16,000 students, parents and educators	2003	\$2,500	\$2,500
<b>KICKSTART (Kick Drugs Out of America)</b> (Program) Martial Arts—drop-out prevention program for at-risk youth in 24 area schools that builds character, discipline and self-esteem	2003	\$10,000	\$10,000
<b>Kids Unlimited Foundation</b> (Operating) Parkland development for day camp experience for children will severe illnesses	2003	\$10,000	\$10,000
<b>San Jacinto Girl Scouts**</b> (Operating) Support for activities connected with Girl Scouting	2003	\$10,000	\$10,000
<b>Zina Garrison Foundation</b> (Operating) Tennis program that teaches life skills, promotes community service, strengthens educational opportunities and provides positive role models	2003	\$20,000	\$10,000
<i>*Men/Boys    ** Women/Girls</i>		<b>Sub-Total</b>	<b>\$75,000</b>



# 2003

## Financial Summary

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The value of The Simmons Foundation cash and investments changed from \$9.8 million in 2002 to \$10.3 million in 2003. The total investment return in 2003 was a 5% increase, due to favorable market conditions.

The Simmons Foundation has a long term asset allocation target for its investments of 60% equity, 18 % fixed income, 19 % alternative investments (market neutral) and 3 % money market. At the end of 2003, the endowment's investments were allocated as follows: 57% equity, 17% fixed income, 20% alternative investments (market neutral) and 6% money market.

# Financial Statement for the year ending 12/31/2003

## Comparative Financial Statements

For the period ending December 31, 2003

### STATEMENT OF INCOME, EXPENSE, AND GRANTS

#### INCOME

Interest, dividends, and other income	<u>630,436.11</u>
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#### EXPENSES AND GRANTS

Other Administrative Expenses	3,866.70
Excise and Other Taxes	4,267.09
Grants	898,000.00
Memberships	400.00
Realized and unrealized capital losses	(816,894.07)

<b>TOTAL EXPENSES AND GRANTS</b>	<u><b>89,639.72</b></u>
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<b>NET INCREASE IN ASSETS (income less expenses &amp; grants)</b>	<u><b>540,796.39</b></u>
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### BALANCE SHEET

#### ASSETS

Cash	110,102.77
Accounts Receivable	9,525.00
Stocks, Corporate Bonds, and Other Investments	<u>10,249,726.05</u>

<b>TOTAL ASSETS</b>	<u><b>10,369,353.82</b></u>
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#### LIABILITIES & FUND BALANCE

Liabilities	0.00
Fund Balance	9,828,557.43
Net Income/Loss (Fiscal Year 2002)	<u>\$540,796.39</u>

<b>TOTAL LIABILITIES &amp; FUND BALANCES</b>	<u><b>10,369,353.82</b></u>
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Note: Assets are shown at market value

# Contact Us

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